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FORMALITIES LETTER



OC000000005107327

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/520,763	03/08/2000	Stephen W. Comiskey	53326.000008

Thomas J Scott Jr
Hunton & Williams
1900 K Street N W Suite 1200
Washington, DC 20006-1109



Date Mailed: 05/10/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/22/2000 15:21:04 09520763 130.00 DP
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Attorney Docket No. 53326.000008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of :

Stephen W. Comiskey, et al.

Serial No.: 09/520,763

Filed: March 8, 2000

For: **System and Method For Providing Financial Services to High Net Worth Individuals**

Group Art Unit: 1356

Examiner: To Be Assigned

For: **System and Method For Providing Financial Services to High Net Worth Individuals**

RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION

Attention: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Responsive to the attached U.S. Patent and Trademark Office “Notice to File Missing Parts of Nonprovisional Application” mailed May 10 , 2000, Applicants are submitting herewith an executed Declaration for filing in the cited patent application, along with the required fee for late filing of the Declaration. Accordingly, it is respectfully requested that a filing date of March 8, 2000 be granted to this application.

Attorney Docket No. 53326.000008
Application Serial No. 09/520,763

A check in the amount of \$130.00 is enclosed for the fee for filing the Late Declaration.

In the event any variance exists between the amount enclosed and the PTO charges, please
charge or credit any difference to Deposit Account No. 50-0206.

Respectfully submitted,

HUNTON & WILLIAMS

By:

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Dated: May 19, 2000